# Yúnnán in the 'History of the Hàn Dynasty'

A New Annotated Translation of Selected Parts of the  $(\!\langle \chi \mp \rangle\!)$ 

## LUDWIG M BRINCKMANN

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# The Yúnnán Papers

This text is part of a series of translations of historical Chinese texts concerning Yúnnán.

This series does not aim to present any new research but to allow an English-speaking audience to better understand Yúnnán's history and culture through original texts. The texts are heavily annotated, giving geographical, historical and cultural background information and references to contemporary academic discussions.

The texts in this series are currently in draft and undergoing revision. Those translations that have reached a certain state of maturity can be found on my website at

https://www.yunnanexplorer.com/translations/

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# 1 Introduction

In his introduction to his translation of parts of the 'History of the Hàn Dynasty' 《汉书》, the eminent translator of ancient Chinese texts Watson writes:

The Han Shu or History of the Former Han by Pan Ku (A.D. 32–92), which deals with the period from 206 B.C. to A.D. 23, is one of the most renowned and influential of all Chinese historical works. Admired for the rich detail of its narrative and the purity and economy of its style, it served as a model for the official histories that were compiled in later centuries to cover all the dynasties of Chinese imperial history. (Watson (1974))

The 《汉书》 is the historic continuation of Sīmǎ Qiān 司马迁's 'Records of the Historian' 《史记》, which was completed around 94 BCE. For the early history of China's south-west, Bān Gù 班固 copied the text of the earlier 'Records of the Historian' 《史记》 almost verbatim, turning it into the first part the 95th juàn. However, other sections contain scattered references to the lands and the people in what is now Yúnnán, enhancing our understanding of the Chinese periphery during the Hàn dynasty.

## 2 About this Translation

The text of the 95th *juàn* titled '*Records of the Southern and Western Yí, the Yuè and Cháoxiǎn*' 《西南夷两粤朝鲜传》is, for the early history, an almost verbatim copy of the text in the '*Records of the Historian*' 《史记》. For this part I have chosen to compare the texts and to highlighted those fragments where differences exist.

At least two previous English translations of this part have been published. The first by Alexander Wylie in 1880, (1) the second by Alex Amies more than a century later. (2) However, for this part two translations of the 《史记》 must also been mentioned, namely by Burton Watson (3) and in Nienhauser (2010). A translation into French, with copious annotations, can be found in Chavannes (1895). The multi-volume collection of historic material about Yúnnán 《云南史料丛刊》 provides, in addition to the text of the 95th *juàn*, selected parts of the 《汉书》. Of these, those parts with most significance for Yúnnán have been selected for translation here. I am not aware of any translation of these parts. The full text of the 《汉书》 is available online at https://ctext.org/han-shu.

# 3 Annotated Translation

第六卷 Records of Hàn Emperor Wǔ《武帝纪》

This *juàn* covers the reign of Hàn emperor Wǔ 汉武帝 (ruled 140–87 BC), who initiated the Chinese conquest of the south-west. The earliest records of the period show the difficulties of this undertaking, which yielded only modest results.

<sup>(1)</sup> Wylie (1880).

<sup>(2)</sup> Amies (2020).

<sup>(3)</sup> Watson (1961).

元光 ... 五年 ... 夏,发巴蜀治南夷道。

In summer of the 5th year of Yuánguāng, [troops] were sent to Bā and Shǔ to administer the road to the southern Yí.

•••

元朔 ... 三年 ... 秋, 罢西南夷

In autumn of the 3rd year of Yuánshuò, [the undertaking against] the western and southern Yí was abandoned.

•

汉书5 元狩 ... 三年 ... 秋 ... 发谪吏穿昆明池。

In autumn of the 3rd year of Yuánshòu, a demoted official was sent out to push through to Kūnmíng lake.

The following describes events in Nányuè, they are told in much more detail in the 113th *juàn* of the 《史记》.(4)

元鼎 ... 五年 ... 夏四月,南越王相吕 嘉反,杀汉使者及其王、王太后。

..

汉书10 秋 ... 遣 ... 越驰义侯遗别将巴蜀罪人, 发夜郎兵,下牂柯江,咸会番禺。

[...]

六年 ... 春 ... 驰义侯遗兵未及下,上 $汉书_{15}$  便令征西南夷,平之。

遂…定西南夷,以为武都、牂柯、越 嶲、沈黎、文山郡。 In summer, in the 4th month of the 5th year of Yuándǐng, the prime minister of the king of the southern Yuè, Lǚ Jiā, rebelled and killed the Hàn envoy, his king and the empress.

In autumn, Yuè's marquis of Chíyì Hé Yí led Bā and Shǔ troops made

up from convicts and mobilised soldiers from Yèláng, to go down the

Zāngkē river to meet up at Pānyú.

In spring of the 6th year, when marquis of Chíyì Hé Yí's troops had not yet descended the river, the emperor ordered a campaign against the western and southern Yi and pacified them.

Then the western and southern  $Y_i$  were subdued and the prefectures Wǔ Dū, Zāngkē, Yuèxī, Shěnlí, and Wénshān were set up.

元封 ... 二年 ... 秋 ... 遣将军郭昌、中郎 汉书20 将卫广发巴蜀兵平西南夷未服者,以 为益州郡。 In autumn of the 2nd year of Yuánfēng, the general Guō Chāng and the commandant Wèi Guǎng sent out troops to Bā and Shǔ to pacify those western and southern *Yi* who had not yet submitted and set up Yìzhōu prefecture.

•••

六年 ... 三月 ... 益州、昆明反,赦京师 亡命令从军,遣拔胡将军郭昌将以击 In the 3rd month of the 6th year, Yìzhōu and Kūnmíng rebelled, they made an amnesty for those condemned to death and ordered them

r1: In summer of the 5th year of Yuánguāng 129 BCE.

r 3: In autumn of the 3rd year of Yuánshuò ] 125 все.

r5: In autumn of the 3rd year of Yuánshòu ] 120 BCE.

r6: Kūnmíng lake] this is likely to be present-day Lake Dian 滇池, but it could also be a reference to lake Ěrhǎi 洱海 as geographical knowledge was very limited.

 $r_7$ : In summer, in the 4th month of the 5th year of Yuándǐng ] 112 BCE.

r10: In autumn ] 112 BCE.

r14: In spring of the 6th year ] 111 BCE.

 $r_{19}$ : In autumn of the 2nd year of Yuánfēng 109 BCE.

r 23: In the 3rd month of the 6th year ] 105 BCE.

<sup>(4)</sup> For a translation of those parts, see Nienhauser (2016), pp. 16-19.

之。

to join the army, and sent out the  $b\acute{a}h\acute{u}$  general Guō Chāng to lead  $\,\,$   $\,$   $\,$   $\,$  troops to attack them.

## 第六卷 Records of Hàn Emperor Zhāo《武昭纪》

This juàn covers the reign of Hàn emperor Zhāo 汉昭帝 (ruled 86-74 BC).

始元元年 ... 夏 , ... 益州廉头、姑缯、牂柯谈指、同并二十四邑皆反。 遣水衡都尉吕破胡募吏民及发犍为、蜀郡奔命击益州, 大破之。

...

四年 ... 冬, 遣大鸿胪田广明击益州。

•••

五年 ... 秋,大鸿胪广明、军正王平击 益州,斩首捕虏三万馀人,获畜产五 万馀头。

•••

六年... 秋七月,... 诏曰:"钩町侯毋 波率其君长人民击反者,斩首捕虏有 功。其立毋波为钩町王。大鸿胪广明 将率有功,赐爵关内侯,食邑。"

•••

元凤…五年…秋,罢象郡,分属郁林、牂柯。

In summer of the 1st year of Shǐyuán, Yìzhōu's Liántóu, Gūzēng and Zāngkē's Tánzhǐ, all together twenty-four fiefdoms revolted. The Shuǐhéng commandant Lǚ Pòhú was sent to recruit men and send them to Jiānwèi, Shǔ prefecture rushed to attack Yìzhōu, defeating it decisively.

...

In winter of the 4th year, the senior ceremonial minister Tián Guǎng-míng attacked Yìzhōu.

•••

In autumn of the 5th year, the senior ceremonial minister Guǎngmíng, military judge Wáng Píng attacked Yìzhōu, executed their leaders and captured more than thirty-thousand men, and seized more than fifty thousand heads of cattle.

. . . .

In autumn, in the 7th month of the 6th year, an edict was issued, which read: 'The marquis of Gōutǐng Wúbō led his ruler and the people to attack the rebels, and succeeded in beheading their leaders and making captives, so Wúbō was made king of Gōutǐng. The senior ceremonial minister Guǎngmíng was successful leading the troops and so was bestowed the title marquis "within the passes" and granted a fiefdom.'

...

In autumn of the 5th year of Yuánfèng, Xiàngjùn was abandoned,  $$\mathbb{Z}$$  45 divided and subordinated to Yùlín and Zāngkē.

## 第十卷 Records of Hàn Emperor Chéng《武成纪》

During the reign of Hàn emperor Chéng 汉成帝, ruled 32–7 BC, only two natural disasters are recorded for the south-western regions, implying that no military campaigns were fought.

建始 ... 三年 ... 冬十二月 ... 越嶲山崩。 In winter, in the 12th month of the 3rd year of Jiànshǐ, a landslide

汉书 30

汉书 35

r 27: In summer of the 1st year of Shǐyuán ] 86 все.

 $r_{32}$ : In winter of the 4th year ] 83 BCE.

 $r_{34}$ : In autumn of the 5th year ] 82 BCE.

r<sub>3</sub>8: In autumn, in the 7th month of the 6th year ] 81 BCE.

r 45: In autumn of the 5th year of Yuánfèng ] 76 все.

r 47: In winter, in the 12th month of the 3rd year of Jiànshǐ ] 30 BCE.

...

河平 ... 三年春二月丙戌,犍为地震山 汉书50 崩,雍江水,水逆流。

happened in Yuèxī.

•••

In spring, on the  $b\check{m}gx\bar{u}$  day in the 2nd month of the 3rd year of Hépíng, in Jiānwèi a landslide happened after an earthquake, blocking the river's waters, the water flowed upstream.

#### 第二十四卷 Treatise on Food and Commodities《食貨志》

This section contains information on the events during the short-lived reign of Wáng Mǎng 王莽 of the Xīn dynasty 新朝: while the earlier Hàn dynasty emperors had granted the Xiōngnú 匈奴 a seal bestowing a position similar to the emperor, Wáng Mǎng 王莽 replaced the seal with one indicating a vassal position, angering the Xiōngnú 匈奴.

宣帝始赐单于印玺,与天子同,而西南夷钩町称王。莽乃遣使易单于印, 贬钩町王为侯。二方始怨,侵犯边境。

•••

武帝因文、景之畜, 忿胡、粤之害, 即位数年, 严助、朱买臣等招徕东瓯, 事两粤, 江淮之间萧然烦费矣。

唐蒙、司马相如始开西南夷,凿山通 道千馀里,以广巴蜀,巴蜀之民罢 焉。

•••

汉书 70

时又通西南夷道,作者数万人,千里 负担馈饷,率十馀锺致一石,散币于 邛僰以辑之。数岁而道不通,蛮夷因 以数攻吏,吏发兵诛之。悉巴蜀租赋 不足以更之,乃募豪民田南夷,入粟 县官,而内受钱于都内。 Hàn emperor Xuān had earlier bestowed the Chányú an imperial seal, similar to the son of heaven, and western and southern Yí's Gōutǐng was named king. Wáng Mǎng then dispatched an envoy to replace Chányú's seal, demoted king Gōutǐng to marquis. Both sides became angry, and invaded the borderland.

•••

Hàn emperor Wǔ, based on the reserves from the Wén and Jǐng periods, begrudged the harm done by the Hú and the Yuè. When he had been on the throne for several years, Yán Zhù and Zhū Mǎichén then recruited the Dōngōu to deal with the two Yuè, between the Yangzi and Huai rivers the land was desolate, causing trouble and expense. Táng Méng and Sīmǎ Xiāngrú began to open the western and southern Yí, building roads through the mountains for more than one thousand lǐ, to expand Bā and Shǔ, the people of Bā and Shǔ were exhausted.

...

At the time the road to the western and southern Yi was also being constructed, several ten thousand men were employed, carrying the burden of food supplies over a thousand li, of ten  $zh\bar{o}ng$  just one dan arrived, gifts were given to the Bó of Qióng to placate them. After several years, the road was still not completed, the Manyi took advantage of it to attack the officials many times, troops were sent out

r49–50: In spring, on the bingx $\bar{u}$  day in the 2nd month of the 3rd year of Hépíng ] 26 все.

r57: reserves | Fāng Guóyú 方国瑜 (1998b), p. 39 notes that this should be read as 蓄.

r57–58: the Wén and Jing periods ] The two emperors Hàn emperor Wén 汉文帝 and Hàn Jingdì who preceded Hàn emperor Wǔ 汉武帝.

r64–65: were exhausted ] Fāng Guóyú 方国瑜 (1998b), p. 39.

r68: of ten  $zh\bar{o}ng$  just one  $d\dot{a}n$  ] A  $zh\bar{o}ng$  锺 is a rare measurement equal to sixty-four  $d\dot{o}u$  斗, one  $d\dot{a}n$   $\Xi$  is equal to ten  $d\dot{o}u$  斗, so this would be about one to two percent, see Chavannes (1895), p. 551. Needham (1971), p. 25 notes about the losses: 'The information that only 2% of the supplies reached their their destination is interesting, but may not mean that all the loss was due to corruption *en route*, for the carriers and road guards had themselves to be supplied.' – For this translation, see also Swann (1950) and Chavannes (1895), vol. 3, p. 549.

于是除千夫、五大夫为吏,不欲者出 马;故吏皆适令伐棘上林,作昆明 池。

是时粤欲与汉用船战逐, 乃大修昆明 池,列馆环之。治楼船,高十馀丈

汉连出兵三岁,诛羌,灭两粤,番禺 以西至蜀南者置初郡十七,且以其故 俗治,无赋税。

而初郡又时时小反,杀吏,汉发南方 吏卒往诛之,间岁万馀人,费皆仰大 农。大农以均输调盐铁助赋,故能澹 之。然兵所过县,县以为訾给毋乏而 已,不敢言轻赋法矣。

to punish them. Since all of the taxes and levies in Bā and Shǔ were not sufficient to pay for this, they recruited noblemen for the fields of the southern Yí, who provided millet for the county officials and received money to spend in the interior.

汉书 75

At that time the Qiānfū and Wǔdàfū were appointed as envoys, they were unwilling to sell their horse, so the officials were all ordered to go into the mountains and cut the forest to construct Kūnmíng lake.

At the time the Yuè wanted to fight war with the Hàn using boats, so Kunming lake was enlarged, with a number of buildings around it. They constructed multi-storied boats, more than ten *zhàng* high.

汉书80

The Han sent out troops for three consecutive years, punished the Qiāng and eliminated the two Yuè, and from Pānyú west to the south of Shu set up seventeen prefectures, administering them according to the old customs, without taxes nor levies.

汉书85

At first, from time to time there were repeated small rebellions in the new prefecture, killing the envoys, the Han sent troops from the south to punish them, more than ten thousand men over the course of a year, relying on big landlords for the expenses. The big landlords were taxed on the base of the tribute equalisation and the administration of salt and iron, so it was possible to sustain it. Later, when the soldiers passed through counties, the counties simply did not lack resources for support, and did not dare to argue for a reduction in the tax regulations.

汉书90

Then follows an interesting passage on a dual silver currency system apparently in place, with Shūshí silver, i.e. a type of silver from the Shūshí region, considered more valuable than other silver. So, at this time the precious metal resources of present-day north-eastern Yúnnán had not only been discovered, but were being exploited.

朱提银重八两为一流,直一千五百八 十。它银一流直千。是为银货二品。

Shūshí silver is considered eight *liǎng* equal one *liú*, its price is one thousand five hundred and eighty. The price of other silver is one thousand for one liú, so there are two types of silver money.

汉书 05

#### 第二十八卷 Treatise on Geography《地理志》

The treatise on geography gives some information about the knowledge of the Hàn court about the lands then south-west of China.

Fāng Guóyú 方国瑜 (1998b), pp. 48-49 contains a number of notes on this section.

r95: Shūshí silver ] Zhūtíyín 朱提银

In a list of administrative regions, the section on geography covers Yìzhōu 益州.

益州郡,户八万一千九百四十六,口五十八万四百六十三。

In Yìzhōu are eighty-one thousand nine hundred and forty-six households, with five hundred eighty thousand four hundred and sixty-three inhabitants.

#### Francis Allard comments on the above section:

The administrative reorganization and the recording of precise population numbers in the census, both of whose success depended on the participation of the native leadership, suggest an interest in taxing the native population, who were required to pay their taxes in grain, salt, or a range of other products, depending on the natural resources available in each person's respective region. (Allard (2006), p. 246)

县二十四:滇池,双柏,同劳,铜濑,连然,俞元,收靡,谷昌,秦臧,邪龙,味,昆泽,叶榆,律高,不韦,云南,嶲唐,弄栋,比苏,贲古,毋棳,胜休,健伶,来唯。

There are twenty-four counties: Diānchí, Shuāngbǎi, Tóngláo, Tónglài, Liánrán, Yúyuán, Shōumǐ, Gǔchāng, Qínzāng, Xiélóng, Wèi, Kūnzé, Yèyú, Lǜgāo, Bùwéi, Yúnnán, Xītáng, Nòngdòng, Bǐsū, Bìgǔ, Wúzhuó, Shèngxiū, Jiànlíng, Láiwéi.

The lands of Qín, i.e. the lands of the dynasty preceding the Hàn dynasty, are introduced through their correspondence with celestial phenomena under the concept of Fenye 分野, a term generally translated as 'field allocation'.

秦地,于天官东井、舆鬼之分野也。

The lands of Qı́n are under the  $F\bar{e}ny\check{e}$  of Dōngjı̆ng and Yúguı̆ of the heavenly officers.

#### Then the ancient borders are mapped out.

其界自弘农故关以西,京兆、扶风、冯 Its borders: since the magnificent Hóngnóng there are gates in the

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r101: Diānchí] present-day Kūnmíng City 昆明市 region.
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汉书105

r101: Shuāngbǎi] present-day Chǔxióng 楚雄 region.

r101: Tóngláo ] unknown location.

r102: Tónglài ] on the border to present-day Guìzhōu.

r102: Liánrán] present-day Ānníng Shì 安宁市 region.

r102: Yúyuán] Yúyuán 俞元.

r 103: Yèyú] present-day Xǐzhōu.

*r* 103: Bùwéi ] present-day Bǎoshān.

r103: Yúnnán] present-day Yúnnányì 云南驿.

r103: Xītáng] in southern Sìchuān.

r103: Nòngdòng] present-day Yáoān 姚安 region.

r103: Bǐsū] one of the counties of Yǒngchāng 永昌.

r104: Jiànlíng] present-day Jìnníng 晋宁.

r106: Dōngjǐng and Yúguǐ] both Dōngjǐng 东井 and Yúguǐ 舆鬼 are constellations.

翊、北地、上郡、西河、安定、天水、陇西,南有巴、蜀、广汉、犍为、武都,西有金城、武威、张掖、酒泉、敦煌,又西南有牂柯、越巂、益州,皆宜属焉。

west in Jīngzhào, Fúfēng, Féngyì, Běidì, Shàngjùn, Xīhé, Āndìng, Tiānshuǐ, Lŏngxī; in the south are Bā, Shǔ, Guǎnghàn, Jiānwèi, Wǔ Dū, in the west are Jīnchéng, Wǔwēi, Zhāngyè, Jiǔquán, Dūnhuáng, further in the south-west are Zāngkē, Yuèxī, and Yìzhōu, they are all suitable for subordination.

巴、蜀、广汉本南夷,秦并以为郡,土 地肥美,有江水沃野,山林竹木疏食 果实之饶。

南贾滇、僰僮,西近邛、莋马旄牛。

民食稻鱼,亡凶年忧,俗不愁苦,而 轻易淫泆,柔弱褊厄。

景、武间,文翁为蜀守,教民读书法令,未能笃信道德,反以好文刺讥, 贵慕权势。

武都地杂氐、羌,及犍为、牂柯、越巂, 皆西南外夷,武帝初开置。

In Bā, Shǔ and Guǎnghàn orginially are the southern Yí, during the Qín they were incorporated as prefectures, their soil is fertile, there are rivers and water, the fertile lands, the mountain forests, and bamboo groves provide ample food and fruit.

In the south they trade Diān and Bó slaves, in the west near Qióng, they export horses and raise cattle.

The people eat rice and fish, don't worry about famine, their customs are unhurried, so they are easygoing, licentious, feeble and narrow-minded.

During the time of Hàn emperor Jǐng and Hàn emperor Wǔ, Wén Wēng guarded Shǔ, he taught the people to read books and follow the law, but was unable to make them believe in virtue, contrary, they liked to disregard Wén, their noblemen craved power.

In the lands of the Wǔ area command are various clans and Qiāng, and south-west of Jiānwèi, Zāngkē, and Yuèxī are Yl, they were established at the beginning of Hàn emperor Wǔ  $\circ$ 

The customs are largely the same as in Bā and Shǔ, as Wǔ Dū is close  $\chi \#_{130}$  to Tiānshuǐ, the customs resemble each other.

# 第九十五卷 Records of the Southern and Western Yí, the Yuè and Cháoxiǎn《西南夷两粤朝鲜传》

The following part of the 《汉书》 was almost verbatim copied from the earlier 《史记》. The notes to the text highlight the differences.

The following is the most important source of information about the indigenous people of the southwest. For a historical introduction, see Herman (2009).

南夷君长以十数,夜郎最大。其西,靡莫之属以十数,滇最大;自滇以北

The southern Yí have dozens of leaders, Yèláng is the greatest. West of them dozens belong to the Mǐmò, Diān is the greatest. North of

r119: export] see Fāng Guóyú 方国瑜 (1998b), note 41, p.49.

汉书no

汉书 115

汉书120

汉书 125

 $l_{131:} \enskip \textcircled{\$}$  ] Source text: https://ctext.org/han-shu/di-li-zhi/zhs

<sup>131: ® ]</sup> Source text: https://ctext.org/han-shu/di-li-zhi/zhs

l<sub>132</sub>: 南夷 ] In the 《史记》: 西南夷 - missing 西.

<sup>1132:</sup> 十数 | In the 《史记》: 什数 - 别字.

<sup>1133:</sup> 十数 ] In the 《史记》: 什数 - 别字.

君长以<u>十数</u>,邛都最大。此皆椎结, 汉书135 耕田,有邑聚。

> 其外,西自桐师以东,北至叶榆,名 为巂、昆明,编发,随畜移徙,亡常 处,亡君长,地方可数千里。

自巂以东北,君长以十数,徙、莋都最大。 自莋以东北,君长以十数, 再駹最大。

其俗,或土著,或移徙。在蜀之西。

自駹以东北,君长以十数,白马最大,皆氐类也。

此皆巴蜀西南外蛮夷也。◆●

Diān are dozens of leaders, Qióng Dū is the greatest. They all wear their hair in bun, plough fields and have villages.

Further from them to the west, east of Tóngshī north until Yèyú, they are called Xī and Kūnmíng. They all braid their hair, follow their lifestock moving around, do not have permanent settlements, have no leaders, their lands extend perhaps several thousand  $\mathcal U$  in one direction

North-east of the Xī are dozens of leaders, Xǐ and Jí are the greatest. North-east of Jí are dozens of leaders, Rǎn and Máng are the greatest.

Their custom is that some are settled and others move about, west of Shu.

North-east of the Máng are dozens of leaders, Báimă the greatest, they are all of the Dǐ kind.

These are all the *Mányí* in the outer areas west and south of Bā and Shǔ.

Then follows an important section on the Chǔ 楚 general Zhuāng Qiāo 庄蹻 who led an expedition into the region and, as he could not return, turned 'native' and became king of Diān 滇王. Almost as in passing, the text mentions the five-foot road 五尺道, a military road stretching into Yúnnán. <sup>(5)</sup>

汉书150 始楚威王时,使将军庄蹻将兵循江 上,略巴、黔中以西。 In the beginning, at the times of king Wēi of Chǔ, general Zhuāng Qiāo was dispatched to lead an army following the river upstream

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1134: 十数] In the 《史记》: 什数 - 别字.
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l148: Source text: https://ctext.org/library.pl?if=gb&remap=gb&file=79553&page=2&

<sup>134:</sup> 椎结 ] In the 《史记》: 魋结 - 别字.

l136:桐师] In the 《史记》: 同师 - 别字.

<sup>1136:</sup> 叶榆] In the 《史记》: 楪榆 - 别字.

l 137: ] In the 《史记》: 皆 - missing character.

<sup>1137:</sup> 移徙] In the 《史记》: 迁徙 - 别字.

l₁₃7: 亡 ] In the 《史记》: 毋 - 别字.

l<sub>13</sub>8: 亡] In the 《史记》: 毋 - 别字.

l141: 巂] In the 《史记》: 冄 - different names.

<sup>1141:</sup> 十数 ] In the 《史记》: 什数 - 别字.

<sup>1141:</sup> 莋] In the 《史记》: 筰 - 别字.

l<sub>142</sub>: 莋 ] In the 《史记》: 筰 - 别字.

<sup>1142:</sup> 十数] In the 《史记》: 什数 - 别字.

l143: 冉] In the 《史记》: 冄 - different names.

<sup>1144:</sup> 土著] In the 《史记》: 土箸 - 别字.

l 146: 駹] In the 《史记》: 冄駹 - missing 冄.

<sup>1146:</sup> 十数 ] In the 《史记》: 什数 - 别字.

r134: Qióng Dū ] dū 都 seems to signify the main settlement or city, see Nienhauser (2016), p. 66.

 $r_{144}$ : Their custom is that some are settled and others move about ] Watson (1961), p. 253 translated this as some being settled, some moving about. I interpret it as a description of seasonal transhumance, similar to what Fürer-Haimendorf (1975) described as a life form in Nepal.

<sup>(5)</sup> For technical background of road construction during this time, see Needham (1971), pp. 15–16.

庄蹻者,楚庄王苗裔也。

蹻至滇池,方三百里,旁平地,肥饶 数千里,以兵威定属楚。

欲归报,会秦击夺楚巴、黔中郡,道 塞不通,因乃以其众王滇,变服,从 其俗,以长之。

秦时常破略通五尺道,诸此国颇置吏焉。

十馀岁,秦灭。

及汉兴,皆弃此国而关蜀故徼。

巴属民或窃出商贾,取其莋马、僰僮、 旄牛,以此巴蜀殷富。 ◆◆ to invade Bā, Qiánzhōng, and further west.

Zhuāng Qiāo was a descendant of king Zhuāng of Chù.

Qiāo reached Lake Dian, three hundred l in one direction, next to flat lands, fertile for several thousand l, he used his military might to pacify and bring it under the rule of Chu.

He intented to return and report, then Qín attacked Chǔ's prefectures of Bā and Qiánzhōng, the road was blocked and impassable, so he turned back and used his men to become king of Diān, changed his dress, followed their customs and served as their leader. At the time of the Qín Cháng È planned and constructed the fivefoot road, all their states were inclined to establish officials.

Some ten years later, the Qín came to an end.

Then the Han prospered, it abandoned all these states and blocked the old Shu border posts.

The people of  $B\bar{a}$  and  $Sh\check{u}$  furtively went out for trade, obtained horses from  $Zu\acute{o}$ , child-servants from  $B\acute{o}$ , yaks, making  $B\bar{a}$  and  $Sh\check{u}$  prosperous.

Then events east of Yúnnán are reported.

建元六年,大行王恢击东粤,东粤杀王郢以报。

恢因兵威使番阳令唐蒙风晓南粤。

南粤食蒙蜀枸酱,蒙问所从来,曰

In the 6th year of Jiànyuán, the senior messenger Wáng Hu $\bar{\imath}$  attacked the eastern Yuè, the eastern Yuè killed Wáng Yǐng in revenge.  $\chi \#_{170}$  Hu $\bar{\imath}$  used his military might to send out the administrator of Póyáng Táng Méng with the intention to enlighten the southern Yuè.

The southern Yuè gave Méng betel juice from Shǔ to eat, Méng in-

l<sub>153</sub>: ] In the 《史记》: 故 - missing character.

l<sub>154</sub>: ] In the 《史记》: 地 - missing character.

l<sub>15</sub>8: 乃] In the 《史记》: 还 , - ??.

 $l_{159}$ : turned back ] unclear

l161: 常破] In the 《史记》: 常頞 - different name.

l 164: 关] In the 《史记》: 开 - different character with opposite meaning, but I think the meaning of the sentence does not change.

*l* 166: 属] In the 《史记》: 蜀 - a copying error.

1166: 莋] In the 《史记》: 筰 - 别字.

1167: 旄] In the 《史记》: 髦 - 别字.

167: Source text: https://ctext.org/library.pl?if=gb&remap=gb&file=79553&page=3&

1169: 东粤] In the 《史记》: 东越 - 别字.

1169: 东粤] In the 《史记》: 东越 - 别字.

l 171: 番阳 ] Fāng Guóyú 方国瑜 (1998a), vol. 1, p. 7 notes that this is written as Póyáng in other texts.

/171: 风晓 ] In the 《史记》: 风指晓 - ??.

l<sub>171</sub>: 南粤 ] In the 《史记》: 南越 - 别字.

l<sub>173</sub>: 南粤 ] In the 《史记》: 南越 - 别字.

r161: planned ] Watson (1974) translates it as 'invaded the region', this translation follows Needham (1971), p. 15.]

r162: were inclined to establish officials.

 $r_{169}$ : In the 6th year of Jiànyuán 135 BCE.

r 170: in revenge ] unclear – see Nienhauser (2016), p. 70 for a very different translation.

r171: Póyáng] another writing for Póyáng 鄱阳.

汉书 155

汉书160

汉书165

"道西北牂柯江,江广数里,出番禺 汉书175 城下"。

蒙归至长安,问蜀贾人,独蜀出枸酱,多持窃出市夜郎。

汉书180 夜郎者,临牂柯江,江广百馀步,足 以行舩。

南粤以财物役属夜郎,西至<u>桐师</u>,然亦不能臣使也。

蒙乃上书说上曰:"南粤王黄屋左纛, 汉书185 地东西万馀里,名为外臣,实一州 主。

今以长沙、豫章往,水道多绝,难行。

窃闻夜郎所有精兵,可得十万, 汉书190 浮舩牂柯,出不意,此制粤一竒也。

> 诚以汉之强,巴蜀之饶,通夜郎道, 为置吏,甚易。

汉书195 上许之。

乃拜蒙以郎中将,将千人,食重万馀 人,从巴苻关入,遂见夜郎侯多同。 quired where it came from, it was said: 'A road north-west to Zāngkē, the Zāngkē river is several  $\mathcal{U}$  wide, flowing out to the walled town of Pānyú.'

After Méng had returned to Cháng'ān, he asked traders from Shǔ, the traders said: Only from Shǔ comes betel juice, they often secretly bring it to market in Yèláng.

With regards to Yèláng, it is near the Zāngkē river, the river is more than one hundred paces wide, sufficient for boat travel.

The southern Yuè used money and goods to subdue Yèláng, west to Tóngshī, however they could not govern it.

Méng then sent a letter to the court, saying: the king of southern Yuè has a yellow canope with tassels on the left, his territory from east to west measures over ten thousand  $l \check{l}$ .

Sending [soldiers] towards Chángshā and Yùzhāng, the river road is often blocked, it is difficult to go.

I have secretly heard Yèláng has excellent soldiers, it is possible to obtain one hundred thousand men, floating boats on the Zāngkē river river to take them by surprise, that way to control Yuè is an excellent plan.

Indeed, using the strength of the Hàn, and the riches of Bā and Shǔ to connect a road to Yèláng to govern them, that is easy.

The emperor approved.

He then appointed Méng as commandant, commanding thousand men and over ten thousand men to carry provisions, and entered from the narrow passes of Bā and Shǔ, progressing to see the marquis of Yèláng Duō Tóng.

l 174: ] In the 《史记》: 牂柯, -??.

l<sub>174</sub>: 江,] In the《史记》: -??.

l 177: ] In the 《史记》: 贾人曰: - missing sentence part.

1181: 舩] In the 《史记》: 船 - 别字.

l182: 南粤] In the 《史记》: 南越 - 别字.

l182: 桐师 ] In the 《史记》: 同师 - 别字.

1184: 南粤] In the 《史记》: 南越 - 别字.

*l* 186: ] In the 《史记》: 也 - meaningless sentence terminator omitted.

l 189: ] In the 《史记》:馀 - modifier missing.

ligo: 舩 ] In the 《史记》: 船 - 别字.

l 190: ] In the 《史记》: 江 - character missing.

l 190: ] In the 《史记》: 其 - character missing.

l190: 粤] In the 《史记》: 越 - 别字.

l190: 奇] In the 《史记》: 奇 - 别字.

l 194: 甚易] In the 《史记》: 易甚 - characters transposed.

l 196: 以] In the 《史记》: 为 - ??.

196: 郎中将 | There is some debate as to whether this should be commandant 中郎将, see Nienhauser (2016), p. 71.

l 197: ] In the 《史记》: 蜀 - character missing.

l 197: 苻] In the 《史记》: 筰 - 别字??.

 $r_{196}$ : commandant] I have chosen 中郎将 as the probably correct title for translation, but there is no way of knowing.

厚赐,谕以威德,约为置吏,使其子为令。

夜郎旁小邑皆贪汉缯帛,以为汉道 险,终不能有也,乃且听蒙约。

还报,乃以为犍为郡。

发巴蜀卒治道,自僰道指牂柯江。

蜀人司马相如亦言西夷邛、莋可置郡。

使相如以郎中将往谕,皆如南夷,为 置一都尉,十馀县,属蜀。◆◆ [He] gave ample gifts, explaining the power and the virtue and agreed to establish officials, with the envoy's son as administrator.

The small places next to Yèláng were all eager for silk from the Hàn, but thought the road to the Hàn was dangerous and in the end had not obtained it, so they listened to Méng's pact.

He returned to report and then Jiānwèi was established as prefecture.

Soldiers were dispached from Bā and Shǔ to establish the road, from the Bó road reaching the Zāngkē river.

Sīmă Xiāngrú, a man from Shǔ, added it was possible to establish prefectures in the western Yí's Qióng and Zuó.

The envoy Xiāngrú as was appointed commandant to go and instruct them, like the southern Yí, to establish one regional commander, more than ten counties, subordinate to Shǔ.

当是时,巴蜀四郡通西南夷道,载转 相馕。

数岁,道不通,士罢饿诿离暑湿,死 者甚众;西南夷又数反,发兵兴击, 耗费亡功。

上患之, 使公孙弘往视问焉。还报, 言其不便。

及弘为御史大夫,时方筑朔方据河逐胡,弘等因言西南夷为害,可且罢,

At that time, the four prefectures of  $B\bar{a}$  and Shu were connected by road to the western and southern Yi, and took turns to provide themselves across the borders.

After many years, the road was blocked. The soldiers were exhausted, many died of hunger or damp. The western and southern Yi rebelled many times, forces were sent to fight them at great expense without success.

The emperor worried about this, and sent out Gōngsūn Hóng to investigate. When he returned, he said that it was not easy.

Gōngsūn Hóng was appointed censor-in-chief, at the time he was fortifying Shuòfāng to occupy the Hé and expel the Hú, Hóng men-

l 200: ] In the 《史记》: 蒙 - missing name.

l 200: 谕] In the 《史记》: 喻 - 别字.

l20g: 莋 In the 《史记》: 筰 - 别字.

l 211: 谕 ] In the 《史记》: 喻 - 别字.

l214: 载] In the 《史记》: 戍 - ??.

1217: 诿 ] In the 《史记》: - missing character.

l 217: 暑 ] In the 《史记》: - missing character.

l<sub>219</sub>: 耗] In the 《史记》: 秏 - 别字.

l 219: 亡] In the 《史记》: 无 - 别字.

l 221: 报 ] In the 《史记》: 对 - different character.

l 223: ] In the 《史记》: 是 - missing character.

1223: ] In the 《史记》: 以 - missing character.

l 224: 等] In the 《史记》: - additional character.

l 224: ] In the 《史记》: 数 - missing character.

1224: 为] In the 《史记》: - additional character.

r214: At that time ] This event here is not dated. It is mentioned earlier, but the dates given might not be accurate, see Nienhauser (2010), p. 367.

r 215–216: and took turns to provide themselves across the borders ] Alternate translations possible, see Nienhauser (2016), pp. 72–73.

汉书 205

汉书 210

汉书 215

汉书 220

汉书225 专力事匈奴。

上许之, 罢西夷, 独置南夷两县一都尉, 稍令犍为自保就。 ◆◆

tioned many times because of the dangers of the western and southern  $Y_i$ , it was acceptable to abandon it and to turn the forces to the affairs with the Xiōngnú.

The emperor abandoned the western Yí, and only established the two counties of Nán Yí and Yèláng with one regional commander, somewhat ordering that Jiānwèi fend for itself.

The following passage provides 'us with invaluable as well as rather obscure information on the Southwest Silk Road'. (6)

及元狩元年,博望侯张骞言使大夏时 见蜀布、邛竹杖,问所从来,曰"从东 南身毒国,可数千里,得蜀贾人市"。

或闻邛西可二千里有身毒国。

赛因盛言大夏在汉西南,慕中国,患 汉书240 匈奴隔其道,诚通蜀,身毒国道便 近,又亡害。

> 于是天子乃令王然于、柏始昌、吕越人 等十馀辈闲出西南夷,指求身毒国。

至滇,滇王当羌乃留,为求道。

In the 1st year of Yuánshòu, the marquis of Bówàng Zhāng Qiān returned from his mission to Bactria and said when he resided in Bactria he saw cloth from Shǔ and bamboo canes from Qióng, he inquired where they came from and it was said from the south-eastern state of Shēndú, perhaps several thousand l, obtained at a market of traders from Shǔ.

He also heard that two thousand  $l \tilde{l}$  west of Qióng was the state of Shēndú.

Qiān extolled that Bactria was south-west of the Hàn, admired China and suffered that the Xiōngnú had blocked their road, so indeed opening a road from Shǔ to the state of Shēndú was easy and short, had benefits and no harm.

So the emperor then ordered Wáng Rányú, Bǎi Shǐchāng, Lǚ Yuè and others, to go furtively west of the western Yí in search for the state of Shēndú.

When they reached Diān, the king of Diān Chángqiāng detained

l228: 许之,] In the 《史记》: - additional characters.

l 228: ] In the 《史记》: 夜郎 - missing county name.

l 229: 保 ] In the 《史记》: 葆 - 别字.

1229: Source text: https://ctext.org/library.pl?if=gb&remap=gb&file=79553&page=6&

l 231: ] In the 《史记》: 使大夏来 , - missing part.

1231: 使] In the 《史记》: 居 - ??.

l 232: ] In the 《史记》: 使 - missing character.

l 241: 又亡] In the 《史记》: 有利无 - similar expression with different characters.

1244: 十馀辈 | In the 《史记》:, 使 - ??.

1244: 西南夷 ] In the 《史记》: 西夷西 - miswriting in the shiji?.

l 246: 当羌 ] In the 《史记》: 尝羌 - name difference.

1246: ] In the 《史记》: 西十馀辈 - missing part.

 $\it r\,230$ : somewhat ordering that Jiānwèi fend for itself ] See Nienhauser (2016), p. 73.

r231: In the 1st year of Yuánshòu ] 122 все.

r244: furtively] 闲?

<sup>(6)</sup> Yang (2004), p. 29. He also includes a translation with rather a lot of poetic license of this passage, but he points out that 身毒 should be pronounced 'Yandu' as a phonetic translation of Indus or Hindus. Schuessler (2006), p. 457 gives 'śin' as a phonetic writing of 身 as pronounced during the later Hàn dynasty period, while Nienhauser (2016), p. 74 transliterates it as 'Chüan-tu'.

四岁馀,皆闭昆明,莫能通。 ⑩

them, as they were in search for a western road. After four years, all had been blocked by the Kunming, they could not reach state of Shēndú.

The following passage about the ignorance of the local rulers regarding the Chinese empire is so famous that a phrase as become a fixed expression for arrogance: 夜郎自大.

滇王与汉使言:"汉孰与我大?"

The king of Diān and the Hàn envoy talked and said: Who is greater - the Hàn or I?

汉书 250

汉书 260

汉书 265

及夜郎侯亦然。

The marquis of Yèláng also asked this.

各自以一州王,不知汉广大。

Each considered himself master of the state, not knowing about the great extent of the Hàn.

The envoy returned and extolled the great state of Diān, sufficient to

使者还,因盛言滇大国,足事亲附。

The emperor took note of it.

be closely attached.

天子注意焉。 👁

及至南粤反,上使驰义侯因犍为发南 夷兵。

且兰君恐远行,旁国虏其老弱,乃与 其众反,杀使者及犍为太守。

汉乃发巴蜀罪人当击南粤者八校尉 击之。

会越已破,汉八校尉不下, 中郎将郭昌、卫广引 兵 还, 诛隔滇道者且兰。

斩首数万,遂平南夷为牂柯郡。

When the southern Yuè rebelled, the emperor sent the marquis of Chíyì to make Jiānwèi to send soldiers against the southern Yí.

The ruler of Jūlán was afraid to march far away, as the neighbouring country would take the old and weak captive, so he rebelled with his people and killed the envoy and the grand protector of Jiānwèi.

The Hàn then sent criminals from Bā and Shǔ to attack southern Yuè and eight officers to attack and destroy it.

When the southern Yuè were already defeated, the eight Hàn officers were not stood down, the commandant Guō Chāng and Wèi Guǎng returned with the soldiers, marching to punish Tóulán which had cut the road to Diān.

Tens of thousands were beheaded, then the southern Yí were paci-

1248: 四] In the 《史记》: - missing time.

l 248: ] In the 《史记》: 身毒国 - missing name.

1248: Source text: https://ctext.org/library.pl?if=gb&remap=gb&file=79553&page=7&

l 250: ] In the 《史记》:者 - missing character.

l 250: ] In the 《史记》: 曰 - missing character.

l 253: ] In the 《史记》: 以道不通故, - missing sentence.

l 253: ] In the 《史记》: 为 - missing character.

l 253: 王 ] In the 《史记》: 主 - different character, similar meaning.

1257: Source text: https://ctext.org/library.pl?if=gb&remap=gb&file=79553&page=8&

1258: 南粤] In the 《史记》: 南越 - 别字.

l263: 当] In the 《史记》: 尝 - ??.

1263: 南粤 ] In the 《史记》: 南越 - 别字.

1264: ] In the 《史记》: 破 - missing character.

1266: 中郎将郭昌、卫广] In the 《史记》: 即 - missing part.

1267: 隔滇道者 ] In the 《史记》: - ??.

1267: 且兰] In the 《史记》: 头兰 - ??.

1269: ] In the 《史记》: 头兰,常隔滇道者也。- partly different position.

l 269: 斩首数万] In the 《史记》: 已平头兰 - different text.

夜郎侯始倚南粤,南粤已灭,还诛反者,夜郎遂入朝,上以为夜郎王。

汉书275 南粤破后,及汉诛且兰、邛君,并杀 筰侯, 冄駹皆振恐,请臣置吏。

以 邛 都 为粤巂 郡,莋都 为 沈黎郡, 汉书280 冉駹为文山郡,广汉西白马为武都 郡。◆ fied as Zāngkē prefecture.

The marquis of Yèláng in the beginning had sided with the southern Yuè, but with the southern Yuè already eliminated, he returned to punish the rebels, Yèláng then sent tributes. The emperor appointed him as king of Yèláng.

After the southern Yuè were defeated, the Hàn executed the rulers of Jūlán and Qióng and killed the marquis of Zuó, the Rǎn and Máng were all shaking with fear and petitioned to become vassals and officials be established.

Then Qióng Dū was made Yuèxī prefecture, Zuódū was made Shěnlí prefecture, Rǎnmáng was made Wènshān prefecture, Báimǎ west of Guǎnghàn was made Wǔ Dū prefecture.

The story of the Seal of the King of Diān 滇滇王之印 is one of the famous passages of the 《史记》 that found a direct corrobation in the archeological record as the seal was discovered in a tomb on the eastern shore of Lake Dian 滇池.

使王然于以<u>粤</u>破及诛南夷兵威风谕滇 王入朝。

汉书 285 滇王者,其众数万人,其旁东 北劳深、靡莫,皆同姓相杖,未肯听。

> 劳、莫数侵犯使者吏卒。 元封二年,天子发巴蜀兵击灭劳深、

[The emperor] sent Wáng Rányú who used the defeat of the southern Yuè and the military elimination of southern Yí to make the king of Diān come to court.

The king of Diān had many tens of thousands of men, and adjacent to the north-east were the Láojìn and the Mǐmò, all of the same name assisting each other, so he was unwilling to listen.

The Láojìn and the Mǐmò assaulted the envoy's forces many times. In the 2nd year of Yuánfēng, the emperor sent soldiers from Bā and

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l 271: 南粤 ] In the 《史记》: 南越 - 别字.
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 $\label{lower} \textit{l}~\ 281: \ \ \textcircled{\$} \ \ ] \ \ \ Source~text:~https://ctext.org/library.pl?if=gb\&remap=gb\&file=79553\&page=9\&file=79553\&pa$ 

l 282: ] In the 《史记》: 上 - missing character.

1282: 粤] In the 《史记》: 越 - 别字.

l<sub>2</sub>82: 谕 In the 《史记》: 喻 - 别字.

l 286: ] In the 《史记》:有 - missing character.

1286: 劳深] In the 《史记》: 劳寖 - different characters for same name.

l 286: 杖 ] In the 《史记》: 扶 - 别字.

l 288: 劳 ] In the 《史记》: 劳寖 - name shortened.

l 288: 莫] In the 《史记》: 靡莫 - name shortened.

l 289: 劳深 ] In the 《史记》: 劳寖 - different characters for same name.

r289: In the 2nd year of Yuánfēng ] 109 BCE.

<sup>1271:</sup> 南粤 ] In the 《史记》: 南越 - 别字.

l 271: ] In the 《史记》: 会 - missing character.

<sup>1275:</sup> 南粤] In the 《史记》: 南越 - 别字.

l 279: ] In the 《史记》: 乃 - missing character.

<sup>1279:</sup> 粤] In the 《史记》: 越 - 别字.

<sup>1279:</sup> 莋] In the 《史记》: 筰 - 别字.

l 279: 黎] In the 《史记》: 犁 - 别字.

<sup>1280:</sup> 冉] In the 《史记》: 冄 - ?.

<sup>1280:</sup> 文] In the 《史记》: 汶 - 别字.

靡莫,以兵临滇。

滇王始首善,以故弗诛。

滇王<u>离西夷</u>, <u>源</u>举国降,请置吏入朝。

于是以为益州郡,赐滇王王印,复长 其民。

西南夷君长以百数,独夜郎、滇受王印。

滇小邑也,最宠焉。◎

Shǔ to attack and eliminate the Láojìn and Mǐmò, the soldiers getting close to Diān.

The king of Diān was then the first to submit and so was not executed

The king of Diān abandoned the western and southern  $Y_i$ , the entire state surrendered, petitioned to establish officials and made a tribute mission.

Afterwards [the region] became Yìzhōu prefecture, the king of Diān was conferred a seal as king, and restored as leader of his people.

The rulers of western and southern  $Y\!\!i$  were hundreds, only Yèláng and Diān were given seals as kings.

Diān is a small region, but most favoured.

汉书 300

汉书 295

The 《汉书》 continues, but does not touch upon Yúnnán.

l 294:  $\,$  离  $\,$   $\,$  In the ( 史记): 离难 - one character missing, but this passage is unclear.

l 294: 西夷] In the 《史记》: 西南夷 - ??.

l 294: 滇 ] In the 《史记》: - additional character.

*l* 301: 也 ] In the 《史记》: - missing sentence delimiter.

r294: abandoned ] Watson (1961), p. 258 points out that the text here appears corrupt, he translates 离难 as the name of the king. Nienhauser (2016), p. 76 documents more discussion about this passage and notes that it is problematic.

# 4 Glossary

- Ānding 安定: reign period of Duàn Zhìxīng 段智兴 see page 11
- Ānníng 安宁: in the region of present-day Ānníng Shì 安宁市 ●. In the vicinity of Ānníng 安宁 the relics of a Nánzhào era temple, called Fǎhuásì 法华寺, have been discovered, see Lǐ Kūnshēng 李昆声 (1999), pp. 126–133 ●. –
- **Ānníng Shì** 安宁市: Ānníng city, present-day city in central Yúnnán see page 10, 20
- **Bā** □: name of a state during the Warring States period, later used to refer to the eastern part of present-day Sichuan see pages 6, 8, 9, 11–15, 17, 18
- **Báimǎ** 白马: state mentioned in the 《史记》—see page 12, 18
- Bǎi Shǐchāng 柏始昌: general during the Hàn dynasty see page 16
- **Bān Gù** 班固: historian who compiled the 《汉 书》 – see page 5
- Bǎoshān 保山: present-day important city, the historic Yǒngchāng 永昌 see page 10, see 永昌
- Běidì 北地: see page 11
- Bǐsū 比苏: Hàn dynasty administrative districe, below Yìzhōu – see page 10
- Bìgǔ 贲古: ancient county, in the region of present-day Jiànshuǐ 建水 see page 10
- **Bó** 僰: ancient tribal group see pages 8, 11, 13, 15
- **Bówàng Hóu** 博望侯: marquis of Bówàng, see page 16, 20
- Bùwéi 不韦: county in Yǒngchāng 永昌, named after Lǚ Bùwéi 吕不韦 see page 10

- Cháng'ān 长安: historic city, present-day Xīān - see page 14
- Cháng È 常類: offical during the Qín dynasty
   see page 13
- **Chángqiāng** 尝羌: name of the king of Diān 滇 see page 16
- Chángshā 长沙: historic state, in present-day Húnán – see page 14
- **Chányú** 单于: title Xiōngnú 匈奴 leader see page 8
- Chéngdū 成都: capital of Shǔ 蜀, during the Táng dynasty center of administration for Jiànnán 剑南 –
- Chíyì Hóu 驰义侯: marquis of Chíyì, general of Nányuè 南越, later named marquis of Chíyì by the Hàn, honorific for Hé Yí 何遗 – see page *see* 何遗
- Chǔ 楚: -
- Chǔ Guó 楚国: kingdom of Chǔ, ancient Chinese state before the Qin dynasty, 704-223BCE – see pages 12, 13, 20
- Chǔ Wēi Wáng 楚威王: king Wēi of Chǔ, king of Chǔ see page 12, 20
- **Chǔxióng** 楚雄: present-day town west of Kūnmíng Shì 昆明市 – see page 10
- Dàlǐ 大理: -
- Dàxī 大厘: earlier name of present-day Xǐzhōu 喜洲 – see page *see* 史城
- Dàxià 大夏: Bactria, see page 16, 20
- Dàxíng 大行: senior messenger, 'members of the Ministry of Justice (ch'iu-kuan) who

made arrangements for the visits and receptions of Feudal Lords (chu-hou) at the royal court', see Hucker (1985), 5957 – see pages 13, 20, 21

Dǐ 氐: – see page 12

Diān 滇: one of the earliest polities known to China in present-day Yúnnán, later also a geographical term for the region – see page 11, see 滇国

Diān Wáng Zhī Yìn《滇王之印》: Seal of the King of Diān 滇, important relic from the kingdom of Diān, mentioned in the《史记》 – see page 18, 21

**Diānchí** 滇池: Lake Dian, large lake in the center of Yúnnán, south of present-day Kūnmíng Shì 昆明市 – see pages 6, 10, 13, 18, 21

Diān Guó 滇国: kingdom of Diān, historic polity in Yunnan, centered around Diānchí, flourishing at the time of the Hàn dynasty – see pages 11, 12, 16–19, 21

**Diān Wáng** 滇王: king of Diān, - see pages 12, 13, 21

**Dōngjǐng** 东井: one of the twenty-eight *xiù* 宿 – see page 10

Dōngōu 东瓯: - see page 8

Dōng Yuè 东越: eastern Yuè, non-Chinese group in southern China – see page 13, 21

Dū 都: area command, name of an administrative area – see page 21

Dūwèi 都尉: commandant, 'Commandant or Commander-in-chief: throughout history a common military title, in later dynasties used mostly for merit titles', see Hucker (1985), 7326 – see page 7, 21

Duàn Zhìxīng 段智兴: -

**Dūnhuáng** 敦煌: one of the most important sites of early Buddhism – see page 11

Duō Tóng 多同: - see page 14

**Ěrhǎi** 洱海: lake Ěrhǎi, highland lake in western Yúnnán with the center of Nánzhào on its western side. It was also called Xīěr 西 洱, Xīěrhé 西洱河 – see page 6, 21

Fēnyě 分野: field allocation, ancient Chinese astrological concept that sees a correspondence between stars and earth, see Pankenier (1999) – see page 10, 21

Féngyì 冯翊: – see page 11

**Fúfēng** 扶风: – see page 11

Gōngsūn Hóng 公孙弘: - see page 15

Gouting 钩町: - see page 7,8

Gōutǐng Hóu Wúbō 钩町侯毋波: marquis of Gōutǐng Wúbō, - see page 7, 21

Gǒujiàng 枸酱: beteljuice, - see pages 13, 14, 21

Gūzēng 姑缯: - see page 7

**Gǔchāng** 谷昌: – see page 10

Guānnèihóu 关内侯: marquis 'within the passes', honorific granted to Zhāng Yì 张翼 – see page 7, 21

Guǎnghàn 广汉: - see page 11, 18

**Guǎngzhōu** 广州: south-eastern region of China –

**Guìzhōu** 贵州: today a province in south-west China – see page 10

**Guō Chāng** 郭昌: Hàn dynasty general – see pages 6, 7, 17

Hàn Cháo 汉朝: Hàn dynasty, Chinese dynasty, 202 BCE-220 – see pages 5, 8, 10, 21

**Hàn** 汉: Hàn, main ethnic group of China – see pages 6, 9, 13–18, 21

Hàn Shū 《汉书》: 'History of the Hàn Dynasty', one of the twenty-four Chinese histories, covering the Hàn dynasty – see pages 5, 11, 19, 22

Hàn Chéngdì 汉成帝: Hàn emperor Chéng, Hàn dynasty emperor Chéng, 32-7BCE – see page 7, 22

Hàn Jǐngdì 汉景帝: Hàn emperor Jǐng, Hàn dynasty emperor Jǐng, 156-141BCE – see pages 8, 11, 22

Hàn Wéndì 汉文帝: Hàn emperor Wén, Hàn dynasty emperor Wén, 179–157 BCE – see page 8, 22

Hàn Wǔdì 汉武帝: Hàn emperor Wǔ, Hàn dynasty emperor Wǔ, 140-87 BCE - see pages 5, 8, 11, 22

Hàn Xuāndì 汉宣帝: Hàn emperor Xuān, Hàn dynasty emperor Xuān, 73-49BCE – see page 8, 22

Hànzhāodì 汉昭帝: Hàn emperor Zhāo, Hàn dynasty emperor Zhāo, 86-74BCE – see page 7, 22

Hé 河: - see page 15

**Hépíng** 河平: reign period of Hàn Chéngdì 汉 成帝, 28-25 – see page 8

**Hé Yí** 何遗: – see pages 6, 17, 20

**Hónglú** 鸿胪: ceremonial minister, 'honorary designation granted to a southwestern aboriginal chief', Hucker (1985), p. 2905 – see page 7, 22

**Hóngnóng** 弘农: magnificent Hóngnóng, see page *see* 神农

**Hóu** 侯: marquis, 'Marquis, a title of nobility, usually next in prestige only after Prince (wang) and Duke (kung), sometimes hereditary, sometimes conferred for special merit; usually prefixed with a geographic name designating the noble's real or hypothetical fief.', see Hucker (1985), 2205 – see pages 8, 14, 17, 18, 22

Hòu Hàn 后汉: later Hàn dynasty, second period of the Hàn dynasty – see page 16, 22

**Hú** 胡: – see page 8, 15

**Jiānwèi** 犍为: - see pages 7, 8, 11, 15-17

Jiànlíng 健伶: Hàn dynasty administrative districe, below Yìzhōu – see page 10

Jiànnán 剑南: Táng dynasty commandery in present-day Sìchuān –

Jiànshǐ 建始: reign period of Hàn Chéngdì 汉成 帝, 32-28 - see page 7

Jiànshuǐ 建水: present-day town south of Tōnghǎi 通海 –

Jiànyuán 建元: reign period of Hàn Wǔdì 汉武帝, 140–135 – see page 13

Jiānghuái 江淮: Yangzi and Huai rivers, land between the Yangzi and the Huai River – see page 8, 22

Jiāngjūn 将军: general, 'throughout history the most common term for the commander of a substantial body of troops, whether a regular officer of the standing army or the ad hoc commander of a special force organized for a campaign; occurs with many kinds of prefixes', see Hucker (1985), 694 – see pages 6, 7, 12, 22

Jīnchéng 金城: – see page 11

**Jinníng** 晋宁: present-day town south of Kūn-míng Shì 昆明市 – see page 10

Jīngzhào 京兆: - see page 11

Jiǔquán 酒泉: – see page 11

Jūlán 且兰: - see page 17, 18

Jūnshū 均输: tribute equalisation, 'one of the most important fiscal measures to be introduced in the Western Han', Li Chaomin (2019) – see page 9, 23

Jūnzhèng 军正: military judge, – see page 7, 23

Jùn 郡: prefecture, historic administrative area, term in use before the Táng – see pages 6, 9, 11, 13, 15, 18, 19, 23

Kūnmíng 昆明: Salt producing area of presentday 盐源 – see pages 6, 12, 17

Kūnmíngchí 昆明池: Kūnmíng lake, artificial lake dug during the reign of Hàn Wǔdì 汉 武帝 for military training – see page 9, 23

Kūnmíng Shì 昆明市: Kūnmíng City, presentday capital of Yúnnán, founded as second capital of Nánzhào – see page 10, 23, see 拓 东

**Kūnzé** 昆泽: Hàn dynasty administrative districe, below Yìzhōu – see page 10

Láiwéi 来唯: Hàn dynasty administrative districe, below Yìzhōu – see page 10

Láojìn 劳寖: - see page 18, 19

**Liánrán** 连然: ancient name for Ānníng 安宁 – see page 10

**Liántóu** 廉头: – see page 7

**Lìng 令:** administrator, check with hucker − see page 13, 23

Lǒngxī 陇西: - see page 11

Lǚ Bùwéi 吕不韦: Zhànguó 战国 period scholar and politician –

Lǚ Jiā 吕嘉: - see page 6

Lǚ Pòhú 吕破胡: commandant – see page 7

Lǚ Yuè 吕越: Lǚ Jiā from Nányuè, – see page 16, 23

Lugāo 律高: Hàn dynasty administrative districe, below Yìzhōu – see page 10

**Mán** 蛮: historically a generic term for non-Chinese people in the southwest –

**Mányí** 蛮夷: Mányí, collective term for non-Chinese groups in south-west China – see pages 8, 12, 23

**Máng** 駹: – see page 12, 18

**Mǐmò** 靡莫: non-Chinese group in southern China – see pages 11, 18, 19

Nán Yí 南夷: southern Yí, general term for non-Chinese people south of China – see pages 6, 9, 11, 15–18, 23

Nányuè 南越: southern Yuè, non-Chinese people in southern China – see pages 6, 13, 14, 17, 18, 23

Nánzhào 南诏: southern zhào, regional power with its center on Ěrhǎi during the 8th and 9th centuries – see page 23

Nòngdòng 弄栋: present-day Yáoān 姚安 – see page 10

Pānyú 番禺: capital of ??, in the region of present-day Guǎngzhōu 广州 – see pages 6, 9, 14

Póyáng 番阳: - see page 13, see 鄱阳

Póyáng 鄱阳: - see page 13

Qiānfū 千夫: title? – see page 9

Qiánzhōng 黔中: ancient Chinese district, in present-day eastern Guìzhōu and western Húnán – see page 13

Qiāng 羌: ethnic group in western Sichuan – see page 9, 11

**Qín** 秦: - see pages 10, 11, 13

Qín Cháo 秦朝: Qín dynasty, - see page 23, 26

Qín Guó 秦国: state of Qín, early Chinese kingdom, united China for the first time as the Qín Cháo 秦朝 – see page 13, 24

Qínzāng 秦臧: county – see page 10

**Qióng** 邛: – see pages 8, 11, 16, 18

Qióng Dū 邛都: Qióng district, tribal region in present-day southern Sìchuān – see pages 12, 15, 18, 24

Rǎn 冄: – see page 18

Rǎn 冉: - see page 12

Shàngjùn 上郡: - see page 11

Shēndú Guó 身毒国: state of Shēndú, an ancient reference to India – see pages 16, 17, 24

Shénnóng 神农: Holy Farmer, legendary founder of Chinese agriculture – see pages 10, 22, 24

Shěnlí 沈犁: - see page 18

Shěnlí 沈黎: - see page 6

Shèngxiū 胜休: Hàn dynasty administrative districe, below Yìzhōu – see page 10

Shǐchéng 史城: ancient name of present-day Xǐzhōu 喜洲 – see page see 大厘

Shǐjì 《史记》: 'Records of the Historian', - see pages 5, 6, 11–19, 24

Shǐyuán 始元: reign period of Hànzhāodì 汉昭 帝, 86–80 – see page 7

**Shōumǐ** 收靡: Hàn dynasty administrative districe, below Yìzhōu – see page 10

Shǔ 蜀: name of a state during the Warring States period, later used to refer to the western part of present-day Sìchuān – see pages 6, 8, 9, 11–17, 19

Shǔjùn 蜀郡: Shǔ prefecture, historical administrative unit in present-day Sìchuān, its name multiple times changing to Yìzhōu — see page 7, 24

Shuāngbǎi 双柏: county name – see page 10

Shuǐhéng 水衡: - see page 7

Shuǐjīng 《水经》: 'Water Classic', early, now lost, geographical work – see page 24

Shuǐjīngzhù 《水经注》: 'Commentary on the Water Classic', classic text, commentary to the lost 《水经》— see page 24

Shuòfāng 朔方: - see page 15

Shūshí 朱提: historic place name for what is now the north-east of Yúnnán, pronounced Shūshí, see 《古代汉语词典》 (2002), p. 2043 – see page 9

**Sīmă Qiān** 司马迁: Hàn dynasty historian, author of the 《史记》 – see page 5

Sīmă Xiāngrú 司马相如: Xīhàn 西汉 poet and politician, his biography and some of his works are included in the 《史记》 – see page 8, 15

**Sìchuān** 四川: Chinese province – see page 10

Tàishǒu 太守: grand protector, 'a title commonly awarded chieftains of southern and southwestern aboriginal tribes'. Herman (2009) translates it as 'governor', noting that it was a title given to members of the indigenous local elite, see Hucker (1985), 1985 – see page 17, 24

Tánzhǐ 谈指: - see page 7

**Táng Cháo** 唐朝: Táng dynasty, Chinese dynasty, 618–907 – see page 24

**Táng** 唐: Táng, Chinese dynasty contemporary with Nánzhào, 618–907 – see page 24

Táng Méng 唐蒙: – see pages 8, 13–15

Tiānshuǐ 天水: - see page 11

Tián Guǎngmíng 田广明: - see page 7

**Tōnghǎi** 通海: present-day 通海, an important town on the route to the coast –

**Tónglài** 铜濑: Hàn dynasty administrative unit, part of Yìzhōu, on the border between Yúnnán and Guìzhōu 贵州 – see page 10

**Tóngláo** 同劳**:** ancient administrative unit, location unknown – see page 10

Tóngshī 同师: - see page 12, 14

Tóulán 头兰: – see page 17

Tǔbō 吐蕃: Tibetan empire -

Tuòdōng 拓东: eastern capital of Nánzhào –

wáng ∃: king, 'King, title commonly used in reference to rulers of foreign states and alien peoples'. It is important to note that this does not have the meaning of a ruler equal to the Chinese emperor, it is a designation of a ruler below him, when used in titles confered by China, the ruler of a vassal state, see Hucker (1985), 7634 − see pages 6−8, 25

Wáng Huī 王恢: Xīhàn 西汉 official – see page 13

**Wáng Mǎng** 王莽: only ruler of the Xīncháo 新朝, 9-23 - see page 8

Wáng Píng 王平: Jūnzhèng 军正 – see page 7

Wáng Rányú 王然于: - see page 16, 18

Wáng Yǐng 王郢: - see page 13

Wèi Guǎng 卫广: general during the Xīhàn 西汉, honoray title 中郎将 – see page 6, 17

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**Wǔchǐdào** 五尺道: five-foot road, - see pages 12, 13, 25

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Xīhé 西河: - see page 11

Xīnán Yí 西南夷: western and southern Yí, a term first appearing in the 《史记》denoting non-Chinese people in present-day Sìchuān, Guìzhōu 贵州 and Yúnnán. It is often translated as 'southwestern barbarians', but it seems to be a short form referring to both Xī Yí 西夷 and Nán Yí 南夷, see Yang (2004), pp. 5–6 – see pages 6, 8, 15, 16, 19, 25

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Xiōngnú 匈奴: Xiōngnú, tribal group in north China – see pages 8, 16, 26

xiù 宿: constellation, ancient astrological concept, often translated as '[lunar] mansions' – see page 26

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Yí 夷: one of teh collective terms for non-Chinese people in the south-west – see page 11, see 蛮

Yìzhōu 益州: Yìzhōu, one of thirteen administrative regions created by Hàn Wǔdì 汉武帝 in 106BCE, covering the region of present-day Sìchuān with its center at 成都. In 742 it became Shǔjùn 蜀郡, but the

name remained in use to refer to 成都 – see pages 6, 7, 10, 11, 19, 26

Yǒngchāng 永昌: present-day Bǎoshān, a Chinese outpost founded in 69, see Fāng Guóyú 方国瑜 (1953) – see page 10

Yúguǐ 與鬼: one of the twenty-eight xiù 宿 – see page 10

**Yúyuán** 俞元: Hàn dynasty county, present-day ?? region – see page 10

**Yùlín** 郁林: administrative district – see page 7

Yùshǐ Dàfū 御史大夫: censor-in-chief, 'head of the Censorate (yü-shih t'ai) and one of the most eminent officials of the central government, in administrative charge of Censors (yü-shih) of many sorts who maintained disciplinary surveillance over the officialdom, freely impeaching any official for public or private misconduct', see Hucker (1985), 8181 – see page 15, 26

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Yuè 粤: – see page 8, 9

Yuèxī 越嶲: - see page 6,8

Yuèxī 越巂: Prefecture belonging to Jiànnán. Called Xīzhōu 618-742 then Yuèxī 742-756, in 757 invaded and captured by Nánzhào and 吐蕃, later reestablished as 越巂 789-865 – see page 11, 18

**Yúnnán** 云南: at the time of the Táng dynasty the name for the geographic region south of its Jiannan district – see pages 5, 9, 10, 12, 13, 19

Yúnnányì 云南驿: important caravan station, three stages before Dàlǐ 大理 – see page 10

**Zānggē** 牂 牁: the region of present-day Guìzhōu – see page 14, 18

**Zāngkē** 牂柯: variant writing of Zānggē 牂牁 – see pages 6, 7, 11, see 牂牁

**Zāngkē river** 牂柯江: Zāngkē river, — see pages 6, 14, 15, 27

**Zhànguó** 战国: Warring States, Warring States period, the time before the Qin dynasty, 475-221 BCE – see page 27

Zhāng Qiān 张骞: - see page 16

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# Zhāng Yì 张翼: -

zhào 诏: zhào, term for a local ruler or his realm—see page 27

**Zhōngláng jiāng** 中 郎 将: commandant, earlier: 'leader of court gentlemen', during the Táng dynasty: commandant, see Hucker (1985), 1581 – see pages 6, 14, 15, 17, 27

Zhū Mǎichén 朱买臣: - see page 8

**Zhūtíyín** 朱提银: Shūshí silver, a prized type of silver from the Shūshí 朱提 region, i.e. north-western Yúnnán – see page 9, 27

Zhuāng Qiāo 庄蹻: Chǔ 楚 general who became king of Diān[ncpi] during the Warring States period – see page 12, 13

**Zhuāng Wáng** 庄王: king Zhuāng, – see page 13, 27

**Zuó** 筰: non-Chinese group, thought to have lived in present-day southern Sìchuān, see Fāng Guóyú 方国瑜 (1987), pp. 13–14 – see pages 12, 13, 15, 18

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